IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Randell Werneth) Title:))))		INFLATABLE HEAT. TRANSFER APPARATUS				
Serial No.	: Not Yet Known) Examine		Not Yet Known				
Filed: No	vember 18, 2003)	Group Art Unit:	Not Yet Known				
Serial No.: Not Yet Known Examiner: Not Yet Known Group Art Not Yet Known								
P.O. Box 1 Alexandria The	450 a, VA 22313-1450 e following items are being submit Preliminary Statements	tted	for this Inform	nation Disclosure Statement:				
			deposited as Expre envelope Patents, I 1450, on	d with the United States Postal Service as Mail No. EV054748202US in an addressed to the Commissioner for P.O. Box 1450, Alexandria, VA 22313-: November 18, 2003				

1. **Preliminary Statements**

Applicants cite herewith patents, publications or other information of which they are

aware, which they believe may be material to the examination of this application and in respect

of which there may be a duty to disclose in accordance with 37 CFR 1.56. These citations have

previously been submitted in a parent case, application serial number 09/885,655, thus copies of

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The filing of this information disclosure statement shall not be construed as a

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The filing of this information disclosure statement shall not be construed as an admission

against interest in any manner. No fee is believed to be due in connection with this submission

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filing date or before receipt of the First Office Action.

However, if any fees are required, authorization is hereby given to the Commissioner for

Patents to charge the same to Deposit Account No. 50-0914.

Date: Nov. 18, 2003

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Approved for use through 10/31/99, OMB 0651-0031 Patent and Trademark Office: U.S./DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO		Complete if Known			
		Application Number	Not Yet Known		
INFORMATION DISCLOSURE				Filing Date	November 18, 2003
STATEMENT BY APPLICANT				First Named Inventor	Randell Werneth
STATEMENT DI ALI LISANT				Group Art Unit	3739
(use as many sheets as necessary)			ecessary)	Examiner Name	Not Yet Known
Sheet	1	of	19	Attorney Docket Number	039003

	,	T	U.S. PATENT DOCUM	MENTS	
		U.S. Patent Document			
Examiner Initials'	Cite No. ¹	Number Kind Cod (if known		Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	2,308,484	Auzin, et al.	01/19/1943	
	AB	2,374,609	McCollum	04/24/1945	
	AC	2,615,686	Davidson	10/28/1982	
	AD	2,672,032	Towse	03/16/1954	
	AE	2,913,009	Kuthe	11/17/1959	
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	AU	4,483,341	Witteles	11/20/1984	
	AV	4,502,286	Okada, et al.	03/05/1985	
	AW	4,569,355	Bitterly	02/11/1986	
	AX	4,581,017	Sahota	04/08/1986	
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	BG	4,883,455	Leonard	11/28/1989	
	ВН	4,894,164	Polaschegg	01/16/1990	
Examine				Date Considered	

Signature Considered

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Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** Not Yet Known November 18, 2003 INFORMATION DISCLOSURE Filing Date First Named Inventor Randell Werneth STATEMENT BY APPLICANT Group Art Unit 3739 (use as many sheets as necessary) Not Yet Known **Examiner Name** Sheet 2 of 19 Attorney Docket Number 039003

			U.S. PATENT DOCUM	MENTS	
		U.S. Patent Document			
Examiner Initials'	Cite No. ¹	Number Kind Coo (if known			Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
	ВІ	4,904,237	Janese	02/27/1990	
	BJ	4,920,963	Brader	05/01/1990	
	ВК	4,964,409	Tremulis	10/23/1990	
	ВМ	5,014,695	Benak, et al.	05/14/1991	
	BN	5,018,521	Campbell	95/28/1991	
	ВО	5,019,075	Spears, et al.	05/28/1991	
	BP	5,041,089	Mueller, et al.	08/20/1991	
	BQ	5,078,713	Varney	01/07/1992	
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	BS	5,106,360	Ishwara, et al.	04/21/1992	
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	BZ	5,151,100	Abele, et al.	09/29/1992	
	CA	5,190,539	Fletcher, et al.	03/02/1993	
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	CC	5,196,024	Barath	03/23/1993	
	CD	5,211,631	Sheaff	05/18/1993	
	CE	5,234,405	Klatz, et al.	08/10/1993	
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	СН	5,257,977	Eshel	11/02/1993	
	CI	5,264,260	Saab	11/23/1993	
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	СМ	5,281,213	Milder et al.	01/25/1994	
	CN	5,281,215	Milder	01/25/1994	
	CP	5,310,440	Zingher	05/10/1994	

Examiner Date Considered

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Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFO	DRMATION	DISC	LOSURE	Filing Date	November 18, 2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	Randell Werneth	
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Sheet	3	of	19	Attorney Docket Number	039003	

		U.S. Patent Document	U.S. PATENT DOCUM	TENTS		
Examiner Initials'	Cite No. ¹	Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	
-	CQ	5,334,193	Nardella	08/02/1994		
	CR	5,342,301	Saab	08/30/1994		
	CT	5,344,436	Fontenot, et al.	09/06/1994		
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	СХ	5,383,918	Panetta	01/24/1995		
	CY	5,395,314	Klatz, et al.	03/07/1995		
	CZ	5,395,331	O'Neill, et al.	03/07/1995		
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	DB	5,417,686	Peterson, et al.	05/23/1995		
****	DC	5,423,745	Todd, et al.	06/13/1995		
	DD	5,423,807	Milder	06/13/1995		
	DE	5,433,740	Yamaguchi	07/18/1995		
	DF	5,437,673	Baust, et al.	08/01/1995		
	DH	5,462,521	Brucker, et al.	10/31/1995		
	DI	5,486,204	Clifton	01/23/1996		
	DJ	5,486,208	Ginsburg	01/23/1996		
	DL	5,531,776	Ward, et al.	07/02/1996		
	DM	5,558,644	Boyd, et al.	09/24/1996		
	DO	5,573,532	Chang, et al.	11/12/1996	•	
*	DP	5,584,804	Klatz, et al.	12/17/1996		
	DQ	5,588,438	McKown, et al.	12/31/1996		
· · · · · · · · · · · · · · · · · · ·	DR	5,591,162	Fletcher, et al.	01/07/1997		
	DS	5,620,480	Rudie	04/15/1997		
·	DT	5,624,392	Saab	04/29/1997		
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	DX	5,713,941	Robins, et al.	02/03/1998		
	DY	5,716,386	Ward, et al.	02/10/1998		
	DZ	5,735,809	Gorsuch	04/07/1998		
	EA	5,797,878	Bleam	08/25/1998		

Date Examiner Considered Signature

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Substitute for form 1449A/PTO		Complete if Known			
Substitute for form 1443/VPTO				Application Number	Not Yet Known
INF	ORMATION D	ISC	LOSURE	Filing Date	November 18, 2003
STA	STATEMENT BY APPLICANT			First Named Inventor	Randell Werneth
STATEMENT BY AFFEIGANT				Group Art Unit	3739
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Sheet	4	of	19	Attorney Docket Number	039003

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		U.S. Patent Document					
Examiner Initials'	Cite No.1	Number Kind ((if knd		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	
	EB	5,800,480		Augustine, et al.	09/01/1998		
	EC	5,807,391		Wijkamp	09/15/1998		
	ED	5,824,030		Yang, et al.	10/20/1998		
	EE	5,827,222		Klatz, et al.	10/27/1998		
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	EG	5,833,671		Macoviak, et al.	11/10/1998		
	EH	5,837,003		Ginsburg	11/17/1998		
	EI	5,871,526	1	Gibbs, et al.	02/16/1999		
	EJ	5,873,835		Hastings, et al.	02/23/1999		
	EK	5,879,329		Ginsburg	03/09/1999		
	EL	5,899,899		Arless, et al.	05/04/1999		
	EM	5,902,268		Saab	05/11/1999		
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	EQ	5,989,238		Ginsburg	11/23/1999		
	ER	6,019,783		Philips et al.	02/01/2000		
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	ET	6,042,559		Dobak, III	03/28/2000		
	EU	6,051,019		Dobak, III	04/18/2000		
_	EV	6,096,068		Dobak, III, et al.	08/01/2000		
	EW	6,110,168		Ginsburg	08/29/2000		
	EX	6,126,684		Ginsburg, et al.	10/03/2000		

			FOREIGN PATENT DO	CUMENTS		
Examiner Initials'		Foreign Patent Document				
	Cite No.1	Office ³ Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	_T*
-	EU	0 664 990	EPO	11/19/1997		
	EV	2 447 406	France	03/06/1980		
	EW	EP0655225 A1	Europe	05/31/1993		
	EX	SU 806 029	Russia	02/23/1981		

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Signature	Considered	
Signature	00110100100	

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Substitute for form 1449A/PTO		Complete if Known			
		Application Number	Not Yet Known		
INF	ORMATION D	DISC	LOSURE	Filing Date	November 18, 2003
STATEMENT BY APPLICANT				First Named Inventor	Randell Werneth
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Sheet	5	of	19	Attorney Docket Number	039003

	FOREIGN PATENT DOCUMENTS Foreign Patent Document										
Examiner Initials'	Cite No.1	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	Τ°					
	EY	WO 91/05528	PCT	05/02/1991							
	EZ	WO 93/04727	PCT	03/18/1993							
	FA	WO 95/01814	PCT	01/19/1995							
	FB	WO 96/40347	PCT	12/19/1996							
	FC	WO 97/01374	PCT	01/16/1997							
•	FD	WO 97/25011	PCT	07/17/1997							
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	FF	WO 98/31312	PCT	07/23/1998							
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	FK	WO 99/48449	Del Mar Technologies	09/30/1999							
	FM	WO 99/66970	Del Mar Technologies	12/29/1999							
	FN	WO 99/66971	Del Mar Technologies	12/29/1999							
	FO	WO 00/09054	Alsius, Inc	02/24/2000							
	FP	WO 00/10494	Radiant Medical, Inc.	03/02/2000							
	FQ	WO 00/38601	Alsius Corporation	12/23/1999							
	FR	WO 00/47145	Alsius, Inc.	02/02/2000							
	FS	WO 00/48670		08/24/2000							
	FT	WO 00/51534		09/08/2000							
	FU	WO 00/53135		09/14/2000							
	FV	WO 00/57823		10/05/2000							

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		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	╙					
Examiner Initials'	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
	FS	Ambrus; The Biphasic Nature and Temperature Dependence of the Activation of Human Plasminogen by Urokinase; 05/1979; pp. 339-347; Research Communications in Chemical Pathology and Pharmacology, Vol. 24, No. 2.						
FT Bigelo; Hypothermia, Its Possible Role in Cardiac Surgery; 11/1959; 849-866; Annals of Surgery, Vol. 132, No. 5.								
FU Cheatle; Cryostripping the Long and Short Saphenous Veins; 01/1993; one page; Br. J. Surg., Vol. 80.								
FV Dexter; Blood Warms as It Blows Retrograde from a Femoral Cannula Site to the Carotid Artery During Cardiopulmonary Bypass; 11/1994; 393-397; Perfusion, Vol. 9, No. 6.								
	FW	Gillinov; Superior Cerebral Protection with Profound Hypothermia During Circulatory Arrest; 11/1992; pp.1432-1439; Ann. Thorac. Surg., Vol. 55.						
FX Higazi; The Effect of Ultrasonic Irradiation and Temperature on Fibrinolytic Activity in Vitro; 08/1992; p. 251-253; Thrombosis Resear Vol. 69, No. 2.								
	FY	Imamaki; Retrograde Cerebral Perfusion with Hypothermic Blood Provides Efficient Protection of the Brain; 07/1995; pp. 325-333; Journal of Cardiac Surgery, Vol. 10, No. 4, Part 1.						
	FZ	Jolin; Management of a Giant Intracranial Aneurysm Using Surface- Heparinized Extracorporeal Circulation and Controlled Deep Hypothermic Low Flow Perfusion; 08/1992; pp. 756-760; Acta Anaesthesiologica Scandinavia.						
-	GA	Jos R.C. Jansen, Ph.D., et al. (1997) Near Continuous Cardiac Output b Thermodilution. Journal of Clinical Monitoring 13:233-239.						
10.0	GB	Kimoto; Open Heart Surgery under Direct Vision with the Aid of Brain- Cooling by Irrigation; 07/1955; pp.592-603; Surgery, vol. 39, No. 4.						
GC Marekovic, Z.; Abstract of Renal Hypothermia in Situ by Venous Passages: Experimental Work on Dogs; 1980; Eur Urol 6(2); 1 page.								

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Complete if Known Substitute for form 1449B/PTO Not Yet Known **Application Number** November 18, 2003 INFORMATION DISCLOSURE Filing Date First Named Inventor Randell Werneth STATEMENT BY APPLICANT 3739 Group Art Unit (use as many sheets as necessary) Not Yet Known **Examiner Name** Sheet 7 of 19 Attorney Docket Number 039003

		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials'	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	GD	Meden; Effect of Hypothermia and Delayed Thrombolysis in a Rat Embolic Stroke Model; 12/1993; pp. 91-98; Acta Neurologica Scandinavica.	
	GE	Meden; The Influence of Body Temperature on Infarct Volume and Thrombolytic Therapy in a Rat Embolic Stroke Model; 02/1994; pp. 131-138; Brain Research, Vol. 647.	
	GF	Milleret, Rene; La cryo-chirurgie danes les varices des mimbres inferieurs; Angiologie; Supplement au no. 110.	
	GG	Milleret; Abstract of <i>Cryosclerosis of the Saphenous Veins in Varicose Reflux in the Obese and Elderly</i> ; 10.1981; one page; Phlebologie, Vol. 34, No. 4.	
	GH	Parkins; Brain Cooling in the Prevention of Brain Damage During Periods of Circulatory Occlusion in Dogs; 04/1954; pp.284-289; Annals of Surgery, Vol. 140, No. 3.	
	GI	Piepgras; Rapid Active Internal Core Cooling for Induction of Moderate Hypothermia in Head Injury by Use of an Extracorporeal Heat Exchanger, 02/1998; pp. 311-318; Neurosurgery, Vol. 42, No. 2.	
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Jubantate	DIDSTITUTE TO TOTAL 1443D/FTO			Application Number	Not Yet Known	
INFO	ORMATION D	ISC	LOSURE	Filing Date	November 18, 2003	
STA	TEMENT BY	API	PLICANT	First Named Inventor	Randell Werneth	
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INFO	ORMATION D	DISC	LOSURE	Filing Date	November 18, 2003	
STA	TEMENT BY	ΑΡΙ	PLICANT	First Named Inventor	Randell Werneth	
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INFO	RMATION E	DISCL	OSURE	Filing Date	November 18, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Randell Werneth	
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Sheet	11	of	19	Attorney Docket Number	039003	

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INFO	RMATION D	ISC	LOSURE	Filing Date	November 18, 2003	
				First Named Inventor	Randell Werneth	
				Group Art Unit	3739	
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Sheet	14	of	19	Attorney Docket Number	039003	

				U.S. PATENT DOCUM	IENTS	
		U.S. Patent	Document			
Examiner Initials'	Cite . No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
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		2002013	8122	Worthen et al.	09/26/2002	

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Sheet	15	of	19	Attorney Docket Number	039003	

					FOREIGN PATENT DOCL	MENIS	· · · · · · · · · · · · · · · · · · ·	_
Examiner Initials'	Cite No.1	-	Foreign Patent Docume fice ³ Number ⁴ Kind Coc if known	de ⁵ (Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
			AU730835	B2	Radiant Medical, Inc.	03/15/2001		
-			AU734506	B2	Innercool Therapies	06/14/2001		
			AU739996	B2	Innercool Therapies	02/07/2002		
			AU743945	B2	Radiant Medical	02/07/2002		
			EP0428505	B2	Gambro Lundia AB	03/14/2001		L
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			WO 01/12061		Alsius Corporation	02/22/2001		
			WO 01/12122		Alsius Corporation	02/22/2001		\perp
U U. U. U. U.			WO 01/13809		Radiant Medical, Inc.	03/01/2001		
			WO 01/13837		Innercool Therapies, Inc.	03/01/2001		Ι
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	1		WO 01/74276		Innercool Therapies	10/11/2001		I
			WO 01/76655		Innercool Therapies	10/18/2001		Ι
		T	WO 01/78580		Innercool Therapies	10/25/2001		Ι
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			WO 01/95840		Innercool Therapies	12/20/2001		T

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Substitute	for form 1449B/PTO			Compl	ete if Known
Substitute	101 101111 14498/1 10			Application Number	Not Yet Known
INF	ORMATION D	ISC	LOSURE	Filing Date	November 18, 2003
STATEMENT BY APPLICANT				First Named Inventor	Randell Werneth
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(use as many sheets as necessary)			ecessary)	Examiner Name	Not Yet Known
Sheet	16	of	19	Attorney Docket Number	039003

			FOREIGN PATENT DOCU	JMENTS		
		Foreign Patent Document				
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		WO 02/07793	Radiant Medical, Inc.	01/31/2002		Τ
		WO 02/26175	Alsius Corporation	04/04/2002		
		WO 02/26176	Alsius Corporation	04/04/2002		<u> </u>
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	1	WO 02/26307	Alsius Corporation	04/04/2002		
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		WO 02/36180	Alsius Corporation	05/10/2002		
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, A ₁		WO 02/47742	Alsius Corporation	06/20/2002		
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		EP1029520 B1	Alsius Corporation	04/06/1999		

		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials'	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Signature Considered Considered	

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Complete if Known Substitute for form 1449B/PTO **Application Number** Not Yet Known November 18, 2003 INFORMATION DISCLOSURE Filing Date First Named Inventor Randell Werneth STATEMENT BY APPLICANT Group Art Unit 3739 Not Yet Known (use as many sheets as necessary) **Examiner Name** 039003 17 of 19 Attorney Docket Number Sheet

l		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	
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Substitute	101 101111 14496/710	,		Application Number	Not Yet Known
INFO	DRMATION D	DISC	LOSURE	Filing Date	November 18, 2003
STATEMENT BY APPLICANT				First Named Inventor	Randell Werneth
017	(I E WEITH DI	<i>/</i> (1	LIOAITI	Group Art Unit	3739
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Sheet	18	of	19	Attorney Docket Number	039003

		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	_
Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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				Application Number	Not Yet Known
INFORMATION DISCLOSURE				Filing Date	November 18, 2003
STATEMENT BY APPLICANT				First Named Inventor	Randell Werneth
			2107411	Group Art Unit	3739
(use as many sheets as necessary)				Examiner Name	Not Yet Known
Sheet	19	of	19	Attorney Docket Number	039003

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